GP/16/7

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/02. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

A TENALLY A MENALT A I	Application Numb r	09/069,703
TRANSMITTAL FORM	Filing Date	April 29, 1998 RECEIVE
MOZ ( I THE E	First Named Inventor	Giles H. TAPOLSKY
	Group Art Unit	1617 JUL 1 / 2001
do be used for all correspondence after initial filing)	Examiner Name	E. Webman TECH CENTER 1600/2
Total Number Of Pages In This Submission 7	Attorney Docket No.	359872000821
ENCLOSURES (check all that apply)		
	signment Papers r an Application)	After Allowance Communication to Group
Fee Attached Dra	awing(s)	Appeal Communication to Board of Appeals and Interferences
Supplemental Amendment to Office Action (Paper No. 16) of 1/8/2001.	ensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final Pe	tition	Proprietary Information
I I Attiday/ite/declaratione I I -	tition to Convert to a ovisional Application	Status Letter
	wer of Attorney, Revocation ange of Correspondence Ad	
Те	rminal Disclaimer	Return/receipt postcard
Express Abandonment Request Re	quest for Refund	•
Information Disclosure Statement CD	, Number of CD(s)	
Certified Copy of Priority Document(s) Remarks		
Response to Missing Parts/ Incomplete Application	<u> </u>	
Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT		
Lisa A. Amii (Reg. No. 48,199)		
MORRISON & FOERSTER LLP or 755 Page Mill Road		
Individual Name Palo Alto, CA 94304		
Signature Lisa A. Amii, Reg. No. 48,199		
Date July 11, 2001		
	-	

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"** 

Express Mail Label No.: EL676223401US

Date of Deposit: July 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Patricia A. Johnson

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

M.M.

## CERTIFICATE OF MAILING BY EXPRESS MAIL"

Express Mail Label No.: EL676223401US

Date of Deposit: July 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Patricia a Johnson

Patricia A. Johnson

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In the application of:

Giles H. TAPOLSKY and DAVID W.

**OSBORNE** 

Serial No.:

09/069,703

Filing Date:

April 29, 1998

For:

PHARMACEUTICAL CARRIER

DEVICE SUITABLE FOR DELIVERY

OF PHARMACEUTICAL COMPOUNDS TO MUCOSAL

**SURFACES** 

JUL 1 7 2001

Examiner: E. Webman

TECH CENTER 1600/2900

Group Art Unit: 1617

SUPPLEMENTAL AMENDMENT UNDER 37 C.F.R. §1.121

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.121(c)(1)(i), a supplemental amendment is submitted for the above-referenced application. This amendment is made after submission of a response to a second Office Action (paper No. 16, dated January 8, 2001), but prior to the receipt of another Action by the Examiner.